

REPORT DOCUMENTATION PAGE			Form Approved OMB NO. 0704-0188
<p>Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comment regarding this burden estimates or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.</p>			
1. AGENCY USE ONLY (Leave blank)	2. REPORT DATE	3. REPORT TYPE AND DATES COVERED	
	6/5/96	Final, 1 Apr 93 - 31 Mar 96	
4. TITLE AND SUBTITLE  Non-invasive characterization of electronic microstructures		5. FUNDING NUMBERS  DAATH04-93-G-0077	
6. AUTHOR(S)  Rostislav Serota			
7. PERFORMING ORGANIZATION NAMES(S) AND ADDRESS(ES)  Department of Physics University of Cincinnati Cincinnati, OH 45221-0011		8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES)  U.S. Army Research Office P.O. Box 12211 Research Triangle Park, NC 27709-2211		10. SPONSORING / MONITORING AGENCY REPORT NUMBER  ARO 29142.8-EL	
11. SUPPLEMENTARY NOTES  The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision, unless so designated by other documentation.			
12a. DISTRIBUTION / AVAILABILITY STATEMENT  Approved for public release; distribution unlimited.		12 b. DISTRIBUTION CODE  19960912 043	
13. ABSTRACT (Maximum 200 words)  Non-invasive characterization of mesoscopic devices is addressed with the emphasis on magnetic response. Various systems - zero-dimensional quantum dots, metal grains, billiard structures, bulk metals, etc. - are studied at low temperatures. Quantum level statistics in the magnetic field, orbital and spin magnetic susceptibilities, the inhomogeneous broadening of the Knight shift are analyzed. Major predictions are: very large inhomogeneous broadening of the Knight shift due to new orbital and spin mechanisms; strongly non-linear and large magnetic susceptibility of quantum dots and its dependence on electron-electron interactions; temperature dependence of the magnetic susceptibility of systems with fixed electron number. A new paradigm, wherein classically irregular devices are mapped to disordered electronic devices, is developed.			
14. SUBJECT TERMS  Mesoscopic devices, magnetic susceptibility, Knight shift, level statistics, quantum dots, chaotic, integrable		15. NUMBER OF PAGES 3	
		16. PRICE CODE	
17. SECURITY CLASSIFICATION OR REPORT  UNCLASSIFIED	18. SECURITY CLASSIFICATION OF THIS PAGE  UNCLASSIFIED	19. SECURITY CLASSIFICATION OF ABSTRACT  UNCLASSIFIED	20. LIMITATION OF ABSTRACT  UL

## Final Progress Report

### Statement of the problem studied

We have studied the problem of non-invasive characterization of mesoscopic devices, with the emphasis on magnetic response. In particular, we have investigated the quantum level statistics in a magnetic field, orbital and spin susceptibilities and the associated phenomena, such as the inhomogeneous broadening of the Knight shift.

### Summary of the most important results

- We predicted that at low temperatures the inhomogeneous broadening of the Knight shift, both in bulk metals and in finite grains, should be much larger than expected. A number of physical mechanism account for this: a) large fluctuations of local magnetic fields due to orbital motion of electrons, b) large fluctuations of local spin density in the presence of spin-orbit interactions, c) fluctuations of spin susceptibility in a finite grain due to variations in the level spacing at the Fermi surface.
- We investigated the effects of electron-electron interactions on the parameters of a zero-dimensional quantum dot and evaluated its orbital and spin susceptibility for a range of temperatures, dot sizes, and magnetic fields.
- We developed a scaling theory of level correlations in quantum dots and its dependence on the anharmonicity of the confining potential.
- We argued that the ergodic, chaotic motion can be mapped to real-space diffusion and, consequently, the quantum properties of chaotic systems should be similar to those of disordered metals. We worked out the particulars of such mapping, that is the length and energy scales, for systems with a “billiard” structure and systems in an anharmonic oscillator potential, appropriate for quantum dots.
- We showed that for systems with fixed number of particles (canonical ensembles) the thermodynamic quantities can be expressed in terms of the correlation function of level density for temperatures larger than the level spacing, and in terms of the probability of finding levels separated by a given energy interval in the opposite limit. As a result, we are being able to evaluate spin and orbital magnetic susceptibilities, including the effect of spin-orbit scattering, in all temperature regimes both for integrable and chaotic cases.

Publications:

"Local magnetic fields in disordered metals," R.A. Serota and A.Yu. Zyuzin, Phys. Rev. **B47**, 14549 (1993).

"Orbital magnetic response of quantum dots: a signature of electron-electron interactions," Y.H. Zeng, B. Goodman, and R.A. Serota, Phys. Rev. **B47**, 15660 (1993).

"Scaling in quantum chaos: A study of quantum dots," Y.H. Zeng and R.A. Serota, Phys. Rev. **B50**, 2492 (1994).

"Quantum limit of chaotic systems as quantum diffusion," R.A. Serota, Mod. Phys. Lett. **B8**, 1243 (1994).

"Transmission fluctuations and spectral rigidity of lasing states in a random amplifying medium," A.Yu. Zyuzin, Phys. Rev. **E51**, 5274 (1995).

"Thermodynamics of finite quantum system: Application to spin magnetism," S. Sitotaw and R.A. Serota, Physica Scripta **53**, 23 (1996).

"Thermodynamics of finite quantum system: Application to spin magnetism II," S. Sitotaw and R.A. Serota, Physica Scripta **53**, 521 (1996).

"Level correlations in the magnetic field and orbital magnetic response of finite mesoscopic systems," S. Sitotaw and R.A. Serota, in preparation.

Supported Personnel

Yinghui Zheng, Graduate Research Assistant

A.Yu. Zyuzin, Postdoctoral Fellow (matching departmental funds).

Samuel Sitotaw, Graduate Research Assistant (in the process of completing Ph.D. Thesis).